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BEFORE THE DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING

## OF THE DEPARTMENT OF COMMERCE

## OF THE STATE OF UTAH

IN THE MATTER OF THE LICENSE OF	)	
C. STAN SULLIVAN	)	STIPULATION AND ORDER
TO PRACTICE AS A LICENSED	)	<b></b>
CLINICAL SOCIAL WORKER	)	CASE NO. DOPL 2014- \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
IN THE STATE OF UTAH	)	W
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C. STAN SULLIVAN ("Respondent") and the DIVISION OF OCCUPATIONAL

AND PROFESSIONAL LICENSING of the Department of Commerce of the State of Utah,

("Division") stipulate and agree as follows:

- 1. Respondent admits the jurisdiction of the Division over Respondent and over the subject matter of this action.
- 2. Respondent acknowledges that Respondent enters into this Stipulation knowingly and voluntarily.

- 3. Respondent understands that Respondent has the right to be represented by counsel in this matter and Respondent's signature below signifies that Respondent has either consulted with an attorney or Respondent waives Respondent's right to counsel in this matter.
- 4. Respondent understands that Respondent is entitled to a hearing before the State of Utah's Social Worker Licensing Board ("the Board"), or other Division Presiding Officer, at which time Respondent may present evidence on Respondent's own behalf, call witnesses, and confront adverse witnesses. Respondent understands that by signing this document Respondent hereby waives the right to a hearing, the right to present evidence on Respondent's own behalf, the right to call witnesses, the right to confront adverse witnesses, and any other rights to which Respondent may be entitled in connection with said hearing. Respondent understands that by signing this document Respondent hereby knowingly and intelligently waives the right to all administrative and judicial review as set forth in Utah Code Ann. §§ 63G-4-301 through 63G-4-405, and Utah Administrative Code R156-46b-12 through R156-46b-15. Respondent and the Division hereby express their intent that this matter be resolved expeditiously through stipulation as contemplated in Utah Code Ann. § 63G-4-102(4).
- 5. Respondent waives the right to the issuance of a Petition and a Notice of Agency Action in this matter.
- 6. Respondent acknowledges that this Stipulation and Order, if adopted by the Director of the Division, will be classified as a public document. The Division may release this Stipulation and Order, and will release other information about this disciplinary action against Respondent, to other persons and entities.

- 7. Respondent admits the following facts are true:
  - a. Respondent was first licensed as a licensed clinical social worker in the State of Utah on or about September 4, 1985.
  - b. In December 2011 Respondent provided social work services to a female client, hereinafter referred to as Jane Doe.
  - c. In about March 2013 Respondent engaged in an intimate personal relationship with Jane Doe. Respondent is approximately 29 years older that Jane Doe. Respondent's employment as a social worker was terminated due to Respondent's actions with Jane Doe.
- 8. Respondent admits that Respondent's conduct described above is unprofessional conduct as defined in Utah Code Ann. § 58-1-501(2)(a), (b), and (k); Utah Administrative Code R156-60a-502(9), (12), and (24); and Principle 1.09 of the Code of Ethics of the National Association of Social Workers, and that said conduct justifies disciplinary action against Respondent's license pursuant to Utah Code Ann. § 58-1-401(2)(a). Respondent agrees that an Order, which constitutes disciplinary action against Respondent's license by the Division pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2), shall be issued in this matter providing for the following actions against Respondent's license:
  - a. Respondent's license shall be publicly reprimanded for the conduct described above.
  - b. Respondent shall successfully complete a continuing education course preapproved by the Division, that provides training regarding the subject of Respondent's violations, within six months of the effective date of this Stipulation and Order. Respondent shall immediately provide proof of completion to the Division.
  - c. Respondent shall meet with the Board at the first Board meeting following the effective date of this Stipulation and Order to discuss Respondent's misconduct and a written plan to avoid similar unprofessional conduct in the future.

- 9. This Stipulation and Order, upon approval by the Director of the Division, shall be the final compromise and settlement of this non-criminal administrative matter, and any hearings or prehearing conferences currently scheduled are hereby vacated. Respondent acknowledges that the Director is not required to accept the terms of this Stipulation and Order and that if the Director does not do so, this Stipulation and the representations contained therein shall be null and void, except that the Division and Respondent waive any claim of bias or prejudgment they might otherwise have with regard to the Director by virtue of the Director having reviewed this Stipulation, and this waiver shall survive such nullification.
- 10. Respondent agrees to abide by and comply with all applicable federal and state laws, regulations, rules and orders related to the Respondent's licensed practice.
- 11. This document constitutes the entire agreement between the parties and supersedes and cancels any and all prior negotiations, representations, understandings or agreements between the parties regarding the subject of this Stipulation and Order. There are no verbal agreements that modify, interpret, construe or affect this Stipulation.
- 12. The accompanying Order becomes effective immediately upon the approval of this Stipulation and signing of the Order by the Division Director. Respondent shall comply with all the terms and conditions of this Stipulation immediately following the Division Director's signing of the Order page of this Stipulation and Order. Respondent shall complete all the terms and conditions contained in the Stipulation and Order in a timely manner. If a time period for completion of a term or condition is not specifically set forth in the Stipulation and Order, Respondent agrees that the time period for completion of that term or condition shall be set by

the Board. Failure to complete a term or condition in a timely manner shall constitute a violation of the Stipulation and Order and may subject Respondent to revocation or other sanctions.

- 13. If Respondent violates any term or condition of this Stipulation and Order, the Division may take action against Respondent, including imposing appropriate sanction, in the manner provided by law. Such sanction may include revocation or suspension of Respondent's license, or other appropriate sanction.
- 14. Respondent understands that the disciplinary action taken by the Division in this Stipulation and Order may adversely affect any license that Respondent may possess in another state or any application for licensure Respondent may submit in another state.
- 15. Respondent shall practice only under Respondent's name as set forth in the caption of this Stipulation and Order. If Respondent intends to practice under any other name, then, prior to practicing under any other name, Respondent shall inform the Division in writing, and Respondent and the Division shall enter into an Amended Stipulation and Order, which consists of the new name Respondent intends to practice under, along with all the same terms and conditions in the original Stipulation and Order.
- 16. Respondent has read each and every paragraph contained in this Stipulation and Order. Respondent understands each and every paragraph contained in this Stipulation and Order. Respondent has no questions about any paragraph or provision contained in this Stipulation and Order.

## DIVISION OF OCCUPATIONAL & PROFESSIONAL LICENSING

**RESPONDENT** 

RICHARD J. OBORN
Bureau Manager

C. STAN SULLIVAN

DATE: 3/4/14

DATE: 2-2/-/4

SEAN D. REYES UTAH ATTORNEY GENERAL

L. MITCHELL JONES
Counsel for the Division

DATE: 4 Mar 2014

## **ORDER**

THE ABOVE STIPULATION, in the matter of **C. STAN SULLIVAN**, is hereby approved by the Division of Occupational and Professional Licensing, and constitutes my Findings of Fact and Conclusions of Law in this matter. The issuance of this Order is disciplinary action pursuant to Utah Administrative Code R156-1-102(6) and Utah Code Ann. § 58-1-401(2). The terms and conditions of the Stipulation are incorporated herein and constitute my final Order in this case.

DATED this	10	day of	Much	. 2014.

DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING

MARK B. STEINAGEN

Director

Investigator: Vince Garcia